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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : Benjamin BRADENBERGER et al.

Appln No : 09/931,721

Office of Initial  
Patent Examination  
Customer Service Center

Filed : August 20, 2001

For : FINANCIAL ASSET MANAGER SELECTION AND PEER GROUP INFORMATION  
DISSEMINATION METHOD, SYSTEM AND COMPUTER-READABLE MEDIUM  
THEREOF

**COVER LETTER**

Commissioner of Patents and Trademarks  
Washington, D.C. 20231

Sir:

In response to the Notice to file Corrected Application Papers (copy attached) of September 20, 2001, the period for response being set to expire November 20, 2001, please find enclosed:

- 73 sheets of drawings

The Commissioner is hereby authorized to charge any fee to Deposit Account No. 19-0089.

Respectfully submitted,  
Benjamin BRADENBERGER et al.

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November 20, 2001  
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## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/931,721	08/20/2001	Benjamin Brandenberger	P19862

7055  
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CONFIRMATION NO. 4605

## FORMALITIES LETTER



\*OC000000006583803\*

Date Mailed: 09/20/2001



## NOTICE TO FILE CORRECTED APPLICATION PAPERS

*Filing Date Granted*

This application has been accorded an Application Number and Filing Date. The application, however, is informal since it does not comply with the regulations for the reason(s) indicated below. Applicant is given **TWO MONTHS** from the date of this Notice within which to correct the informalities indicated below. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:
  - drawing sheets do not have the appropriate margin(s) (see 37 CFR 1.84(g)). Each sheet must include a top margin of at least 2.5 cm. (1 inch), a left side margin of at least 2.5 cm. (1 inch), a right side margin of at least 1.5 cm. (5/8 inch), and a bottom margin of at least 1.0 cm. (3/8 inch);

*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE